

# QUALYS GUARD® POLICY COMPLIANCE

## OPERATIONALIZE IT SECURITY AND POLICY COMPLIANCE — ON DEMAND

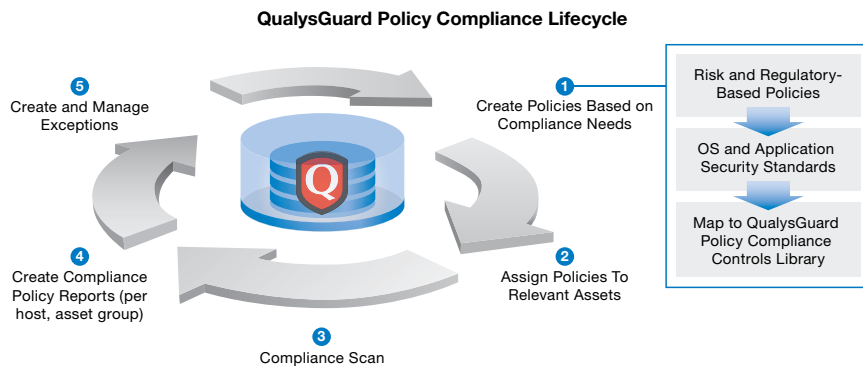
IT security organizations are required to meet the demands of internal and external auditors by providing evidence on how they meet the complex requirements of multiple regulatory mandates, industry standards, and compliance frameworks. As a result, an organization must exhibit due care by providing auditors with:

- Policies that describe how an organization will provide security and integrity
- Proof that the policies have been effectively operationalized via audit of the IT environment
- Documented evidence that the organization has discovered and addressed any policy compliance lapses, either through direct mitigation or justification of risk acceptance

Automation of vulnerability and compliance management processes improves an organization’s effectiveness and efficiency in reducing risk and providing proof of compliance demanded by auditors across multiple compliance initiatives.

### Introducing QualysGuard® Policy Compliance

QualysGuard Policy Compliance automates the collection of OS Configuration and Application Access controls from information assets within the enterprise; and provides compliance reporting by leveraging a comprehensive knowledgebase of technical controls that are mapped to prevalent security regulations, industry standards and compliance frameworks.



### QualysGuard Policy Compliance Benefits

- Integrated agent-less solution enables scalable management of vulnerability and configuration scanning from a single interface with least impact to IT resources
- Rapid global deployment with the QualysGuard Software-as-a-Service (SaaS) delivery model requiring no software installation or maintenance
- Centralized approach for policy definition and control management consolidates many IT compliance and security processes into a single solution
- Customizable auditing capabilities for multiple regulatory mandates and policies
- Comprehensive instructions and audit trails to review and prove compliance with auditors through a workflow to request, review and approve exceptions

*“Gathering IT security and configuration data for compliance purposes is a daunting task and quite expensive for a distributed organization like ours. QualysGuard enables us to collect security and compliance information from all of our global IT assets without having to deploy agents and to leverage this data across multiple compliance and regulatory initiatives. This enables us to drastically reduce the cost of compliance reporting while gaining an accurate view of our security and compliance posture.”*

Victor Hsiang, Director of Security Architecture  
TransUnion

*“Regulations such as the Sarbanes-Oxley Act and Basel II have pushed compliance to the forefront of the executive’s agenda. In this environment, security managers must tie their vulnerability management and security auditing practices to broader corporate risk and compliance initiatives.”*

Andreas Wuchner-Bruehl,  
Head of Global IT Security  
Novartis AG

## QualysGuard Policy Compliance Features:

- Automated compliance scanning using the same QualysGuard infrastructure used for vulnerability scanning
- Continuously maintained technical controls library is based on CIS and NIST standards and maps to many frameworks & regulations such as COBIT, ISO, ITIL, FFIEC, NERC, etc.
- Policy Editor to construct policies from controls and map them to internal standards and external regulations
- New compliance report templates to show compliance by policy, by control, and by host
- Exception management workflow for creating, evaluating, and approving risk acceptance of policy violations
- Collaboration capabilities to review policies and approve exceptions with internal and external auditors



Controls Classification



Policy Editor



Compliance Report

## How QualysGuard Policy Compliance Works:

### Technical Controls Library

QualysGuard Policy Compliance technical controls library is based on CIS and NIST. Version 2.0 supports the following technologies, frameworks and compliance initiatives:

- **Technologies:** Windows XP Desktop, Windows 2003 Server, Windows 2000 Server, Windows Vista, Windows 2008 Server, RedHat Enterprise Linux 3, 4 and 5, SUSE 9 and 10, Solaris 8, 9, and 10, AIX 5, HPUX 11i.v1, Oracle 9i, 10g and 11g, MS-SQL Server
- **Frameworks and Regulations:** CIS, COBIT 4.0 and 4.1, ISO 17799 and 27001, NIST SP800-53, ITIL v2, HIPAA, FFIEC, NERC-CIP, User Defined Regulatory Cross Reference
- **User Defined Controls:** Custom control capabilities allow users to create technical policies that better fit compliance requirements and include registry key values, key existence, and registry ACL's as well as the existence of files and expected file ACL's on Windows platforms.

### Policy Editor

QualysGuard Policy Editor is a WYSIWYG user interface to create and edit IT control policies which are assigned to asset groups targeted for automated audit. A policy can be divided into sections of technical controls and can include a cover page to document specific details about the usage and purpose of this policy within the organization. Users can define the "pass/fail" status of a control per policy by changing the "expected" value or parameter of the control in that policy. Also, controls can be included in multiple policies so users can create policies tailored to specific use cases of IT assets.

### Audit Results and Reports

New reports, report templates and workflows are available to review Policy Compliance results and track compliance per control, per host and per policy including graphical reporting options for executives. Additionally, customizable compliance report templates allow users to control which report sections and information are included in report output.

### Pricing and Availability:

QualysGuard Policy Compliance is available as part of the QualysGuard Security and Compliance Suite. QualysGuard Policy Compliance annual subscriptions are sold on a per IP basis, include an unlimited number of compliance audits, and 24x7 support and updates.



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